

# NURSING HOME PROVIDER FORUM



Northeastern Regional Office

August 1, 2012

**Wisconsin Top Ten Federal Health Citations Identifying Actual Harm or Immediate Jeopardy  
2011**

<b>Rank</b>	<b>Rank 2010</b>	<b>Tag</b>	<b>Description of Regulation</b>	<b># Cites at Harm or IJ</b>
1	1	F323	Facility is free of hazardous environment/Supervision and assistive devices to prevent accidents	51
2	2	F309	Services to attain/maintain highest practicable level of well being	31
3	3	F314	Treatment to prevent and heal pressure ulcers	25
4		F281	Services provided in accordance with professional standards of practice	11
5T	6	F315	Treatment and services to retain/restore as much bladder function as possible	10
5T	4	F157	Immediately consult with physician following a significant change in condition	10
7T	7	F325	Maintain acceptable parameters of nutrition	8
7T	10	F441	Infection control program investigates, controls, and prevents spread of infection	8
9	5	F327	Provide sufficient fluids to maintain hydration	5
10T	9	F225	Reporting and investigation of allegations of abuse, mistreatment, neglect and mistreatment	3
10T	8	F223	Right to remain free of physical, verbal, mental and sexual abuse	3

**Northeastern Regional Office**  
**Top Ten Federal Health Citations**  
**2011**

<b>Rank</b>	<b>NERO Rank 2010</b>	<b>Tag</b>	<b>Description of Regulation</b>	<b># Citations</b>	<b># Cites at Harm or IJ</b>
1	1	F441	Infection control program designed to prevent the development and control the spread of infection	80	4
2	3	F323	Facility is free of hazardous environment/Supervision and assistive devices to prevent accidents	77	14
3	2	F282	Services provided in accordance with resident's care plan	74	0
4	8	F314	Services and treatment to prevent and/or to heal pressure ulcers Documentation is complete and accurate	53	2
5	4	F371	Food stored, prepared, distributed, and served in a manner that prevents food borne illness	50	0
6	9	F279	Develop comprehensive care plan	42	0
7		F156	Information to be posted or provided at admission or when resident becomes eligible for Medicaid	40	0
8		F329	Drug regimen is free of unnecessary drugs	39	2
9	5	F225	Reporting and investigation of allegations of abuse, mistreatment, neglect and mistreatment	37	0
10		F425	Provide pharmaceutical services that assure accurate acquiring and administering of medications	34	0

**Northeastern Regional Office  
Top Ten Federal Health Citations  
January 1, 2012 – June 21, 2012**

<b>Rank</b>	<b>NERO Rank 2011</b>	<b>Tag</b>	<b>Description of Regulation</b>	<b># Citations</b>	<b># Cites at Harm or IJ</b>
1	1	F441	Infection control program designed to prevent the development and control the spread of infection	41	0
2	3	F282	Services provided in accordance with resident's care plan	38	0
3	2	F323	Facility is free of hazardous environment/Supervision and assistive devices to prevent accidents	33	7
4	4	F314	Services and treatment to prevent and/or to heal pressure ulcers Documentation is complete and accurate	24	3
5	5	F371	Food stored, prepared, distributed, and served in a manner that prevents food borne illness	22	0
6	8	F329	Drug regimen is free of unnecessary drugs	42	0
7	10	F425	Provide pharmaceutical services that assure accurate acquiring and administering of medications	19	1
8	9	F225	Reporting and investigation of allegations of abuse, mistreatment, neglect and mistreatment	18	0
9		F315	Prevent UTIs; services to restore/maintain bladder function to extent possible	18	0
10		F312	For those needing assistance, services to maintain good nutrition, grooming, and hygiene	17	0

**TOP TEN FEDERAL HEALTH CITATIONS – NATION, STATE, REGIONAL OFFICE – 2011**

National	State	Southern (Madison)	Southeastern (Milwaukee)	Northeastern (De Pere)	Northern (Rhinelander)	Western (Eau Claire)
<u>F323</u> – supervision to prevent accidents (6773)	<u>F323</u> – supervision to prevent accidents (230)	<u>F282</u> – care in accordance with care plan (48)	<u>F323</u> – supervision to prevent accidents (45)	<u>F441</u> – infection control (80)	<u>F441</u> – infection control (27)	<u>F441</u> – infection control (48)
<u>F441</u> – infection control (6228)	<u>F441</u> – infection control (230)	<u>F371</u> – food prepared/served under sanitary conditions (48)	<u>F225</u> – investigate allegations of abuse (44)	<u>F323</u> – supervision to prevent accidents (77)	<u>F323</u> – supervision to prevent accidents (26)	<u>F323</u> – supervision to prevent accidents (38)
<u>F309</u> - care promotes highest level of functioning and well being (5251)	<u>F282</u> – care in accordance with care plan (151)	<u>F323</u> – supervision to prevent accidents (44)	<u>F226</u> – develop and implement policies prohibiting abuse (40)	<u>F282</u> – care in accordance with care plan (74)	<u>F225</u> - investigate allegations of abuse (18)	<u>F371</u> – store, prepare, distribute food under sanitary conditions (25)
<u>F371</u> – food prepared/served under sanitary conditions (5045)	<u>F225</u> - investigate allegations of abuse (148)	<u>F441</u> – infection control (41)	<u>F441</u> – infection control (34)	<u>F314</u> – prevention of pressure (53)	<u>F309</u> – care promotes highest level of well-being (16)	<b><i>F514 - documentation (22)</i></b>
<u>F279</u> – develop comprehensive care plan (3751)	<u>F371</u> – food prepared/served under sanitary conditions (140)	<u>F279</u> – develop comprehensive care plan (35)	<u>F279</u> – develop comprehensive care plan (29)	<u>F371</u> – store, prepare, distribute food under sanitary conditions (50)	<u>F314</u> – prevention of pressure ulcers (15)	<u>F225</u> - investigate allegations of abuse (20)
<b><i>F281</i></b> – professional standards of practice (3262)	<u>F279</u> – develop comprehensive care plan (134)	<b><i>F514 - documentation (31)</i></b>	<u>F309</u> – care promotes highest level of well-being (28)	<u>F279</u> – develop comprehensive care plan (42)	<u>F282</u> – care in accordance with care plan (14)	<u>F279</u> – develop comprehensive care plan (20)
<u>F329</u> – Drug regimen is free of unnecessary drugs (3129)	<u>F314</u> – prevention of pressure ulcers (130)	<u>F225</u> - investigate allegations of abuse (29)	<u>F314</u> – prevention of pressure (25)	<b><i>F156 – notice of rights, rules, services, charges_(40)</i></b>	<u>F226</u> – develop and implement policies prohibiting abuse (12)	<b><i>F156 – notice of rights, rules, services, charges_(18)</i></b>
<b><i>F514 - documentation (3085)</i></b>	<u>F329</u> – Drug regimen is free of unnecessary drugs (102)	<b><i>F157 – contact MD after significant condition change (27)</i></b>	<b><i>F425 – medication system assures accurate receipt/administration (24)</i></b>	<u>F329</u> – Drug regimen is free of unnecessary drugs (39)	<b><i>F312 – Services to help carry out activities of daily living (12)</i></b>	<u>F226</u> – develop and implement policies prohibiting abuse (15)
<u>F225</u> - investigate allegations of abuse (2750)	<u>F309</u> - care promotes highest level of functioning and well being (49)	<u>F329</u> – Drug regimen is free of unnecessary drugs (26)	<b><i>F156 – notice of rights, rules, services, charges (18)</i></b>	<u>F225</u> - investigate allegations of abuse (37)	<b><i>F315 - services to restore bladder function and to prevent UTIs (11)</i></b>	<u>F314</u> – prevention of pressure ulcers (14)
<b><i>F241</i></b> – resident dignity (2736)	<u>F226</u> – develop and implement policies prohibiting abuse (93)	<u>F314</u> – prevention of pressure ulcers (23)	<b><i>F157 – contact MD after significant condition change (18)</i></b>	<b><i>F425 – medication system assures accurate administration (34)</i></b>	<u>F371</u> – store, prepare, distribute food under sanitary conditions (10)	<u>F309</u> – care promotes highest level of well-being (13)
					<b><i>F281</i></b> – professional standards of practice (10)	

BOLD ITALICS – not in State’s top ten      *New to Top Ten compared to entity’s 2010 data*

# STATE AND FEDERAL CITING STATISTICS FOR 2011



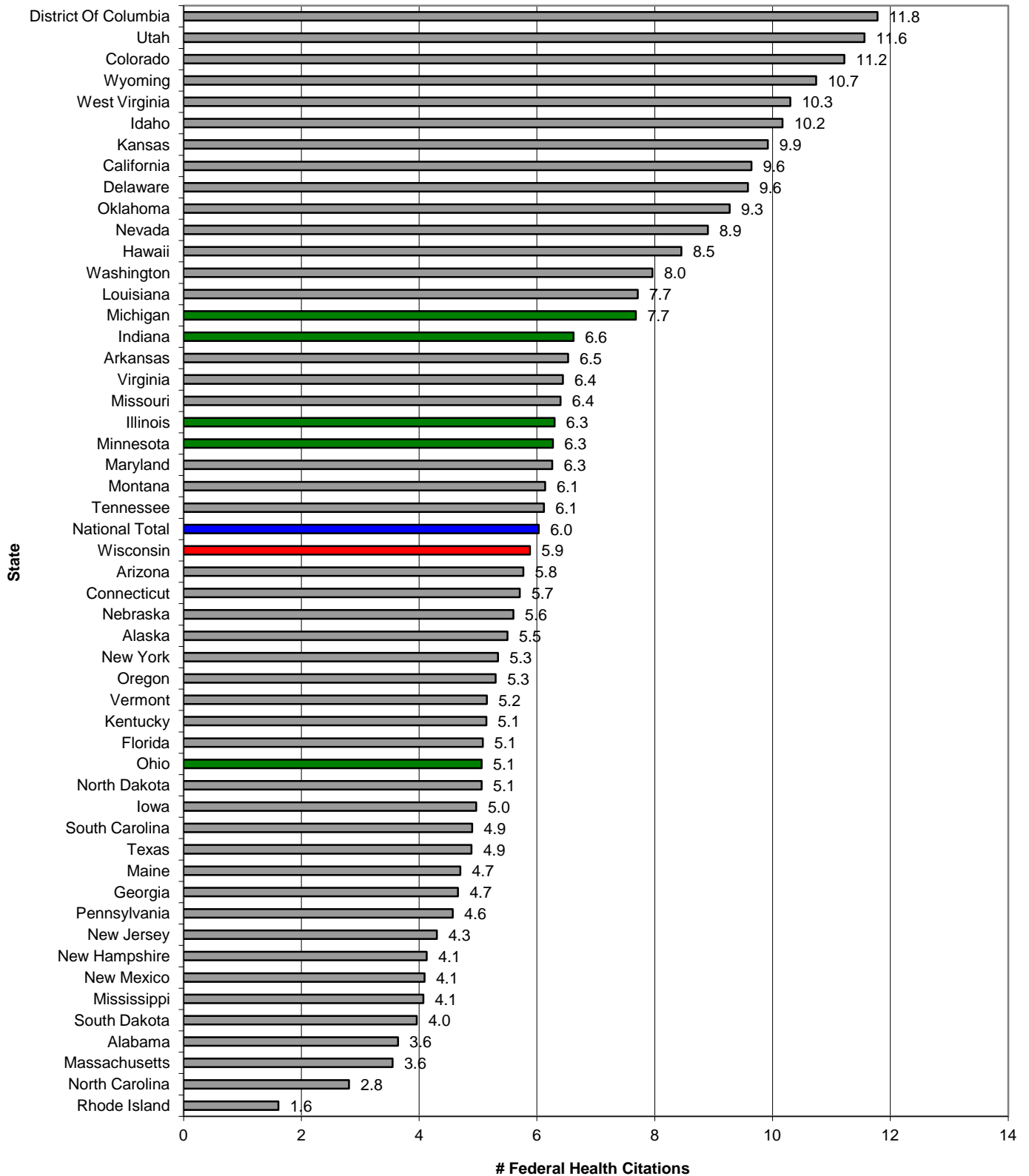
State citing information obtained from ASPEN CENTRAL OFFICE  
Federal citing information obtained from CMS S & C PDQ

State of Wisconsin  
Department of Health Services  
Division of Quality Assurance

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## Average # Federal Health Citations per Recertification Survey 2011

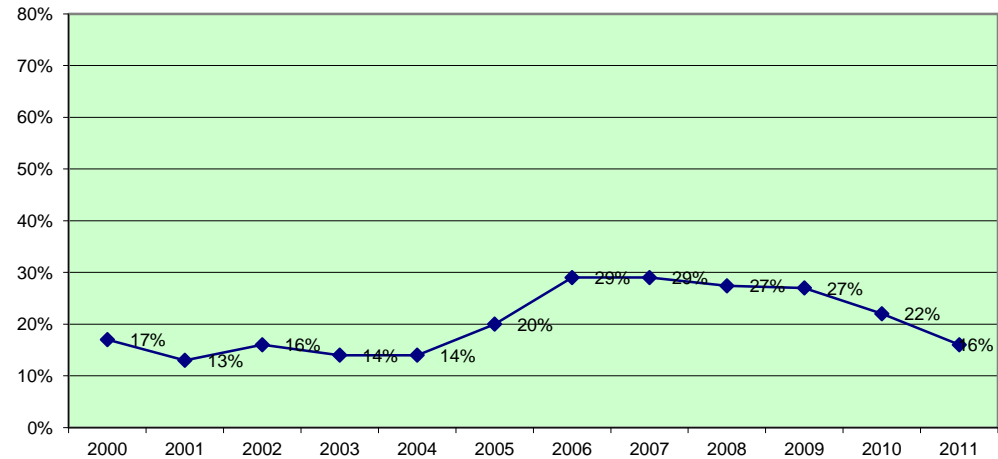
S&C PDQ data



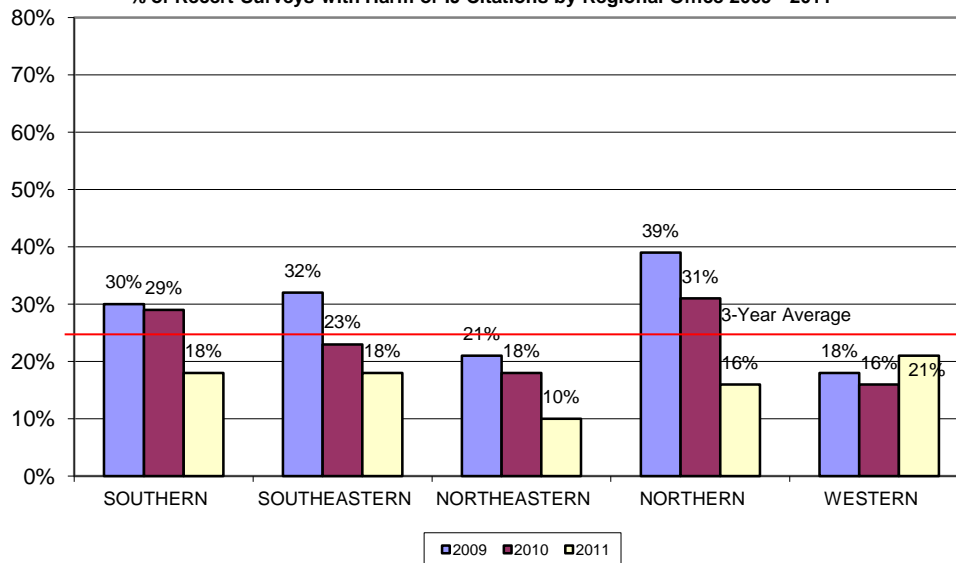
# Recertification Surveys

## % of Surveys Identifying Actual Harm or Immediate Jeopardy

State Trend 2000 - 2011



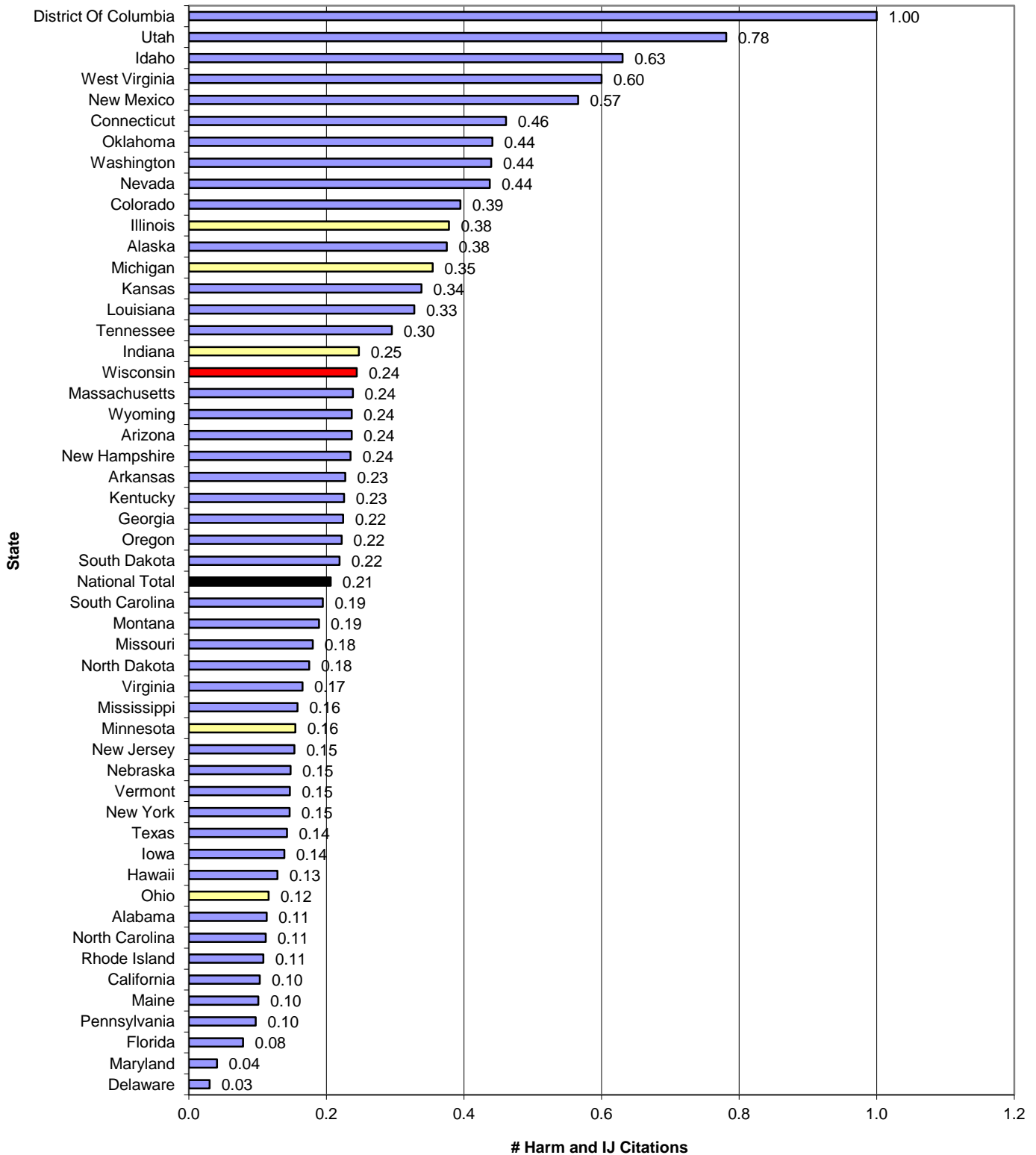
% of Recert Surveys with Harm or IJ Citations by Regional Office 2009 - 2011





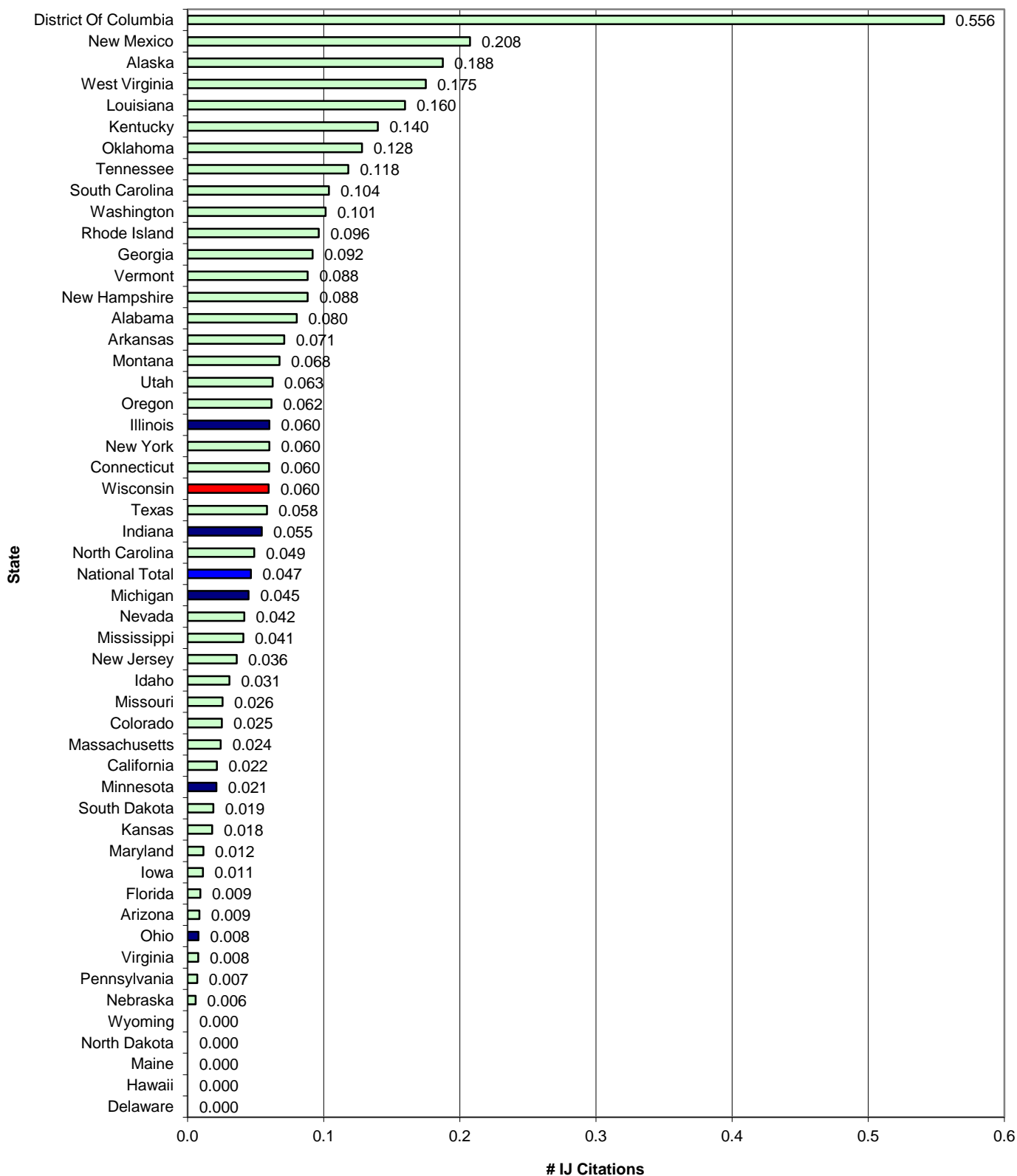
## Average # Harm and Immediate Jeopardy Citations per Recertification Survey 2011

S&C PDQ data

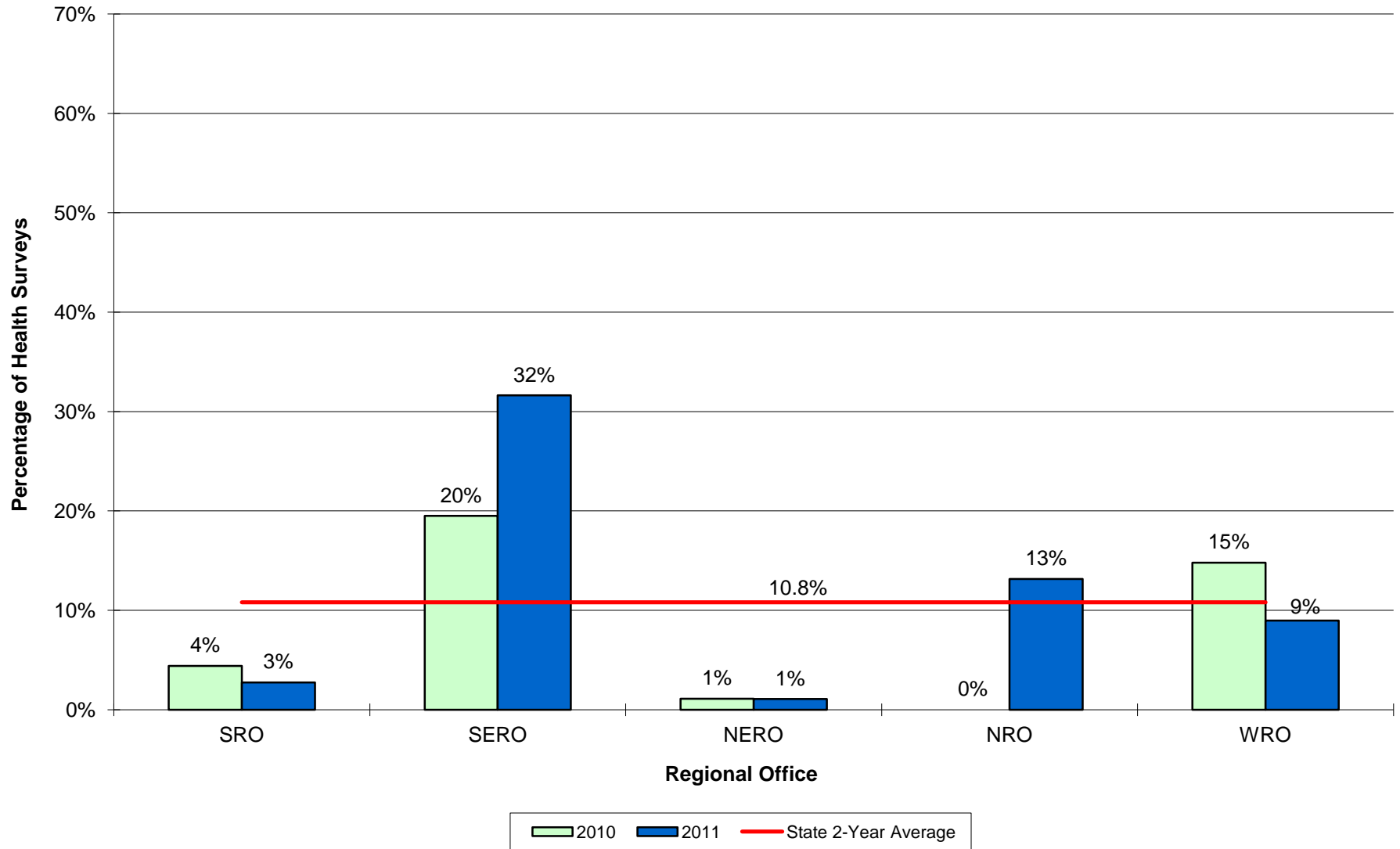


## Average # IJ Citations per Recertification Survey 2011

S&C PDQ data



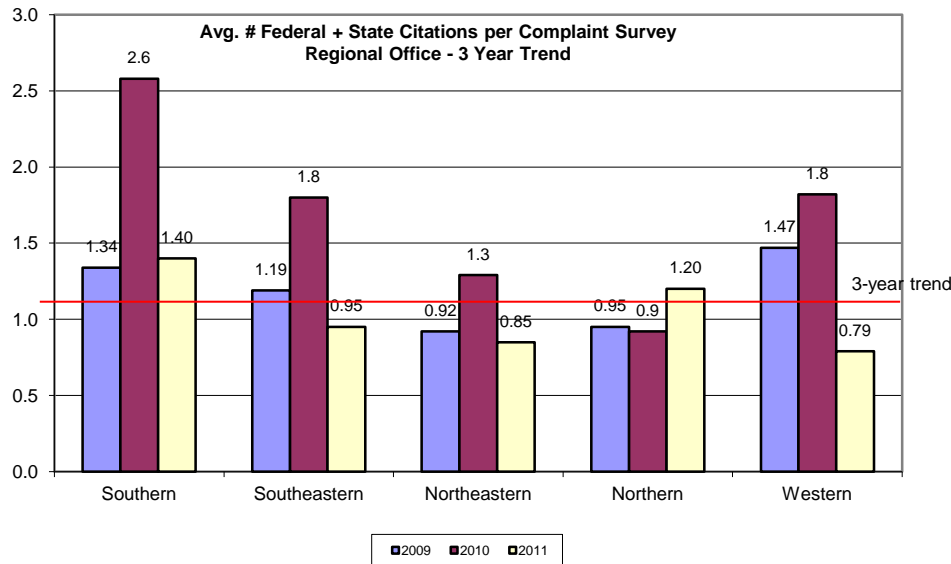
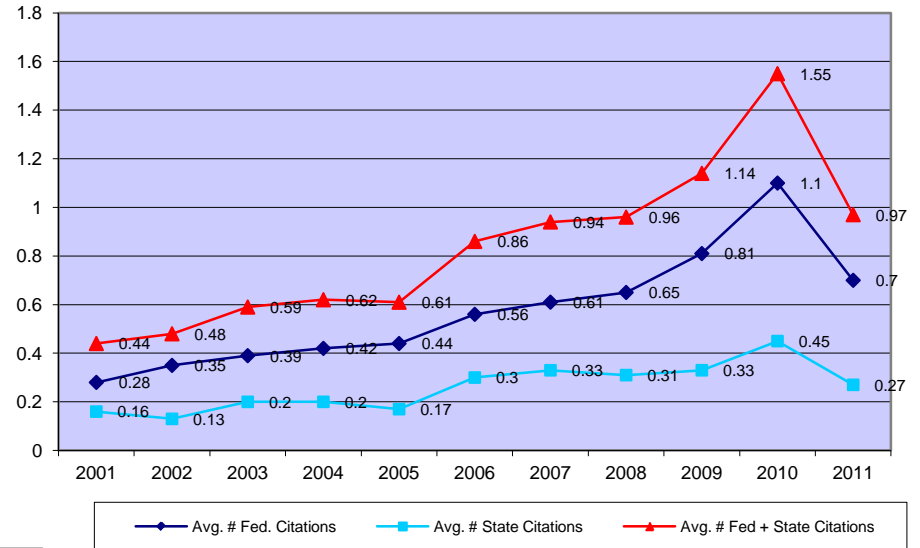
### % of Deficiency Free Health Surveys 2010 - 2011



# Complaint Surveys

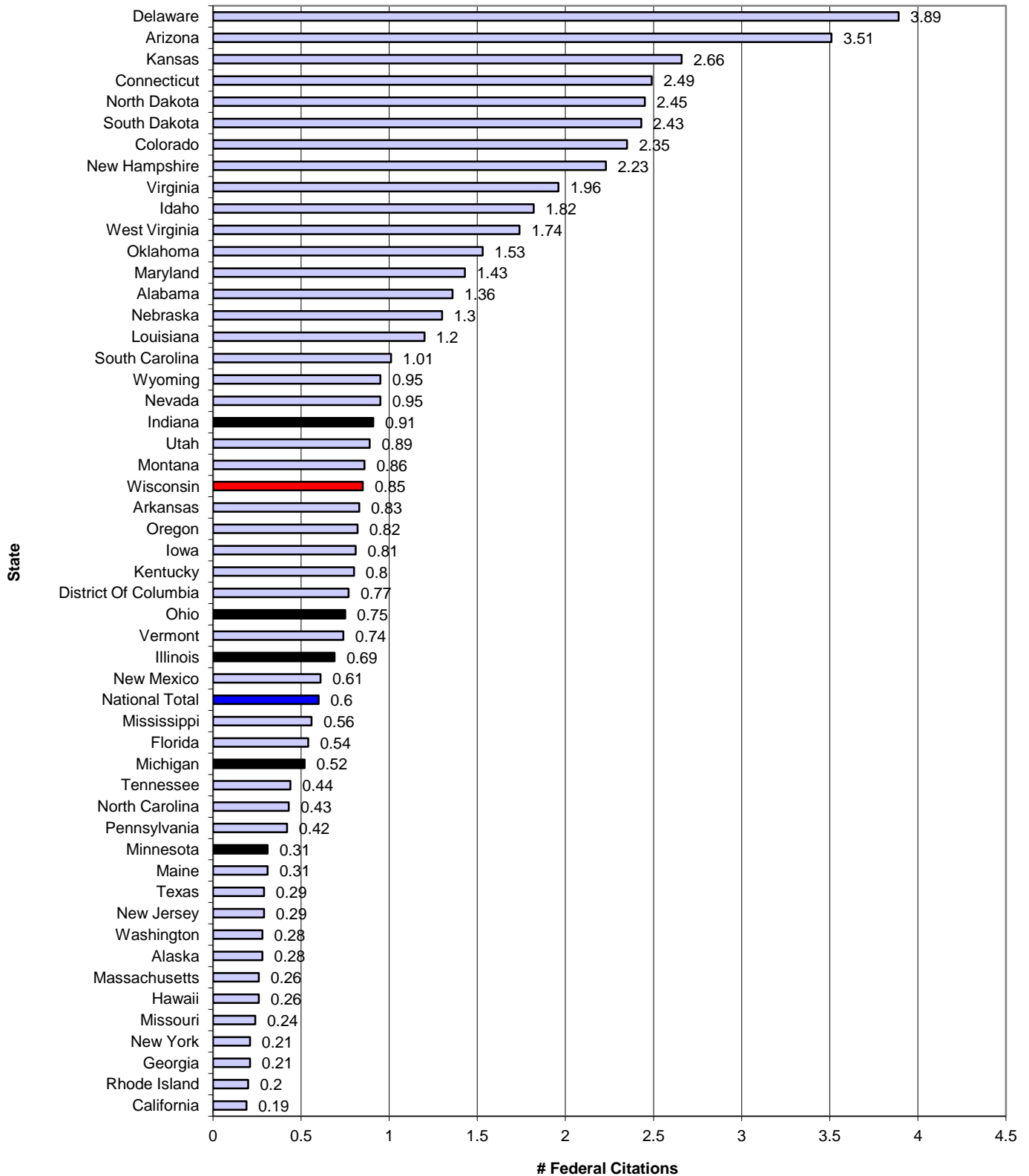
## Average # Federal and State Health Citations

State Trend 2001 - 2011



## Average # Federal Health Citations per Complaint Survey 2011

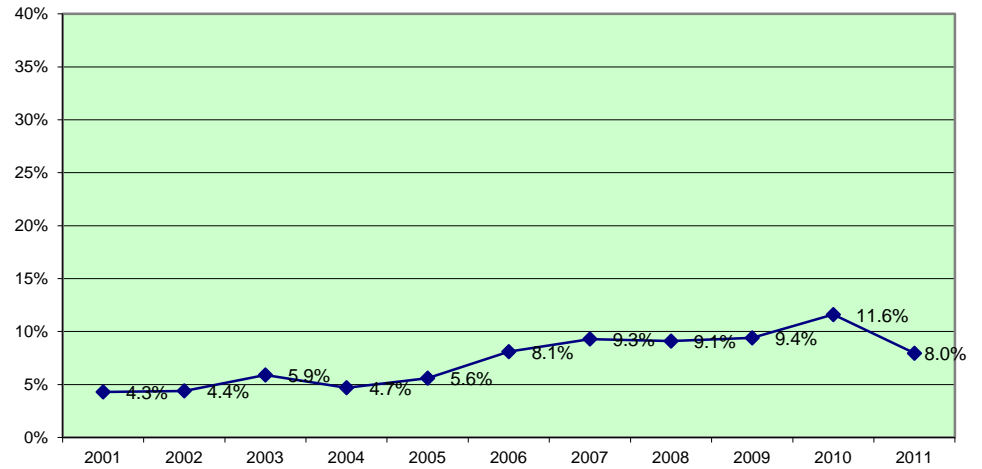
S&C PDQ Data



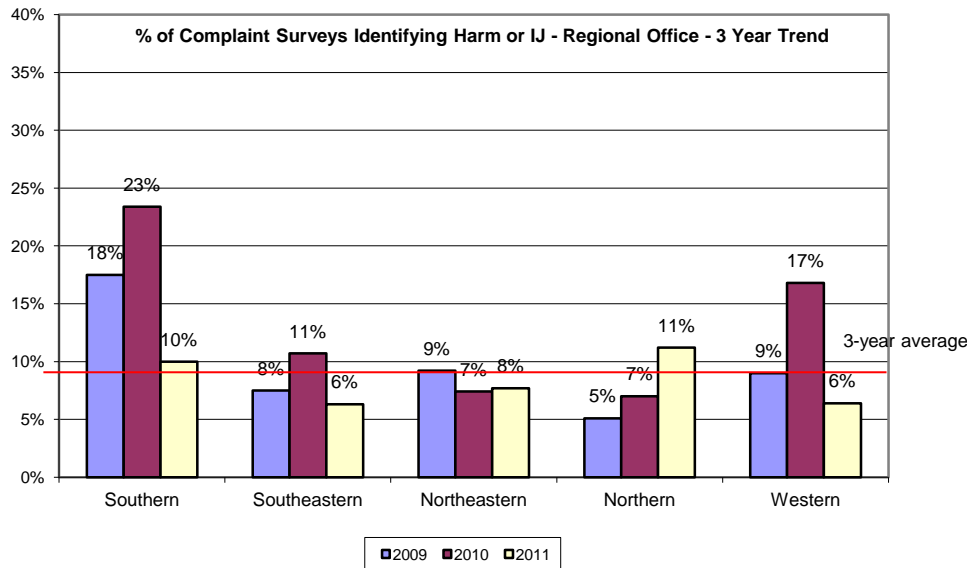
# Complaint Surveys

## % of Complaint Surveys Identifying Actual Harm or Immediate Jeopardy

State Trend 2001 - 2011



% of Complaint Surveys Identifying Harm or IJ - Regional Office - 3 Year Trend



## Average # Harm and IJ Citations per Complaint Survey 2011

S&C PDQ Data

