

# Federal Regulatory Groups for Long Term Care Facilities

**\*Substandard quality of care =one or more deficiencies with s/s levels of F, H, I, J, K, or L in Red Letter Reg. Groups**

## Definitions

F150 Definition of a Facility – SNF & NF

## Resident Rights: 483.10

F151 Right to Exercise Rights – Free of Reprisal  
 F152 Rights Exercised by Representative  
 F153 Right to Access/Purchase Copies of Records  
 F154 Informed of Health Status, Care & Treatments  
 F155 Right to Refuse; Formulate Advance Directives  
 F156 Notice of Rights, Rules, Services, Charges  
 F157 Notify of Changes (Injury/Decline/Room, Etc)  
 F158 Right to Manage Own Financial Affairs  
 F159 Facility Management of Personal Funds  
 F160 Conveyance of Personal Funds Upon Death  
 F161 Surety Bond – Security of Personal Funds  
 F162 Limitation on Charges to Personal Funds  
 F163 Right to Choose a Personal Physician  
 F164 Personal Privacy/Confidentiality of Records  
 F165 Right to Voice Grievances Without Reprisal  
 F166 Right to Prompt Efforts to Resolve Grievances  
 F167 Right to Survey Results – Readily Accessible  
 F168 Right to Info From/Contact Advocate Agencies  
 F169 Right to Perform Facility Services or Refuse  
 F170 Right to Privacy – Send/Receive Unopened Mail  
 F171 Access to Stationary/Postage/Pens, Etc  
 F172 Right to/Facility Provision of Visitor Access  
 F173 Allow Ombudsman to Examine Resident Records  
 F174 Right to Telephone Access with Privacy  
 F175 Right of Married Couples to Share a Room  
 F176 Resident Self-Administer Drugs if Deemed Safe  
 F177 Right to Refuse Certain Transfers

## Admission, Transfer & DC: 483.12

F201 Reasons for Transfer/Discharge of Resident  
 F202 Documentation for Transfer/Discharge of Res  
 F203 Notice Requirements Before Transfer/Discharge  
 F204 Preparation for Safe/Orderly Transfer/Discharge  
 F205 Notice of Bed Hold Policy Before/Upon Transfer  
 F206 Policy to Permit Readmission Beyond Bed Hold  
 F207 Equal Practices Regardless of Payment Source  
 F208 Prohibiting Certain Admission Policies

## \*Resident Behavior and Facility Practices: 483.13

F221 Right to be Free from Physical Restraints  
 F222 Right to be Free from Chemical Restraints  
 F223 Free from Abuse/Involuntary Seclusion  
 F224 Prohibit Mistreatment/Neglect/Misappropriation  
 F225 Investigate/Report Allegations/Individuals  
 F226 Develop/Implement Abuse/Neglect, etc. Policies

## \*Quality of Life: 483.15

F240 Care and Environment Promotes Quality of Life  
 F241 Dignity and Respect of Individuality  
 F242 Self Determination – Right to Make Choices  
 F243 Right to Participate in Resident/Family Group  
 F244 Listen/Act on Group Grievance/Recommendation  
 F245 Participate in Social/Religious Act/Community  
 F246 Reasonable Accommodation of Needs/Preferences  
 F247 Right to Notice Before Room/Roommate Change  
 F248 Activities Meet Interests/Needs of Each Resident  
 F249 Qualifications of Activity Professional  
 F250 Provision of Medically Related Social Service  
 F251 Qualifications of Social Worker > 120 Beds  
 F252 Safe/Clean/Comfortable/Homelike Environment  
 F253 Housekeeping and Maintenance Services  
 F254 Clean Bed/Bath Linens in Good Condition  
 F256 Adequate and Comfortable Lighting Levels  
 F257 Comfortable and Safe Temperature Levels  
 F258 Maintenance of Comfortable Sound Levels

## Resident Assessment: 483.20

F271 Admission Physician Orders for Immediate Care  
 F272 Comprehensive Assessments  
 F273 Comprehensive Assessment 14 Days after Admit  
 F274 Comprehensive Assess After Significant Change  
 F275 Comprehensive Assess At Least Every 12 Months  
 F276 Quarterly Assessment At Least Every 3 Months  
 F278 Assessment Accuracy/Coordination/Certified  
 F279 Develop Comprehensive Care Plans  
 F280 Right to Participate Planning Care – Revise CP  
 F281 Services Provided Meet Professional Standards  
 F282 Services By Qualified Persons/Per Care Plan  
 F283 Anticipate Discharge: Recap Stay/Final Status  
 F284 Anticipate Discharge: Post-Discharge Plan  
 F285 PASRR Requirements for MI & MR  
 F286 Maintain 15 Months of Resident Assessments  
 F287 Encoding/Transmitting Resident Assessment

## \*Quality of Care: 483.25

F309 Provide Care/Services for Highest Well Being  
 F310 ADLs Do Not Decline Unless Unavoidable  
 F311 Treatment/Services to Improve/Maintain ADLs  
 F312 ADL Care Provided for Dependent Residents  
 F313 Treatment/Devices to Maintain Hearing/Vision  
 F314 Treatment/Svcs to Prevent/Heal Pressure Ulcers  
 F315 No Catheter, Prevent UTI, Restore Bladder  
 F317 No Reduction in ROM Unless Unavoidable  
 F318 Increase/Prevent Decrease in Range of Motion  
 F319 Treatment/Svc for Mental Psychosocial Difficulties  
 F320 No Behavior Difficulties Unless Unavoidable  
 F322 NG Treatment/Services – Restore Eating Skills  
 F323 Free of Accident Hazards/Supervision/Devices  
 F325 Maintain Nutritional Status Unless Unavoidable  
 F327 Sufficient Fluid to Maintain Hydration  
 F328 Treatment/Care for Special Needs  
 F329 Drug Regimen is Free From Unnecessary Drugs  
 F332 Free of Medication Error Rates of 5% or More  
 F333 Residents are Free of Significant Med Errors  
 F334 Influenza and Pneumococcal Immunizations

# Federal Regulatory Groups for Long Term Care Facilities

## Nursing Services: 483.30

- F353 Sufficient 24 Hour Nursing Staff Per Care Plans
- F354 Waiver – RN 8 Hrs 7 Days/Week, Full Time DON
- F355 Waiver – Licensed Nurses 24 Hr/Day
- F356 Posted Nurse Staffing Information

## Dietary Services: 483.35

- F360 Provided Diet Meets Needs of Each Resident
- F361 Qualified Dietician – Director of Food Services
- F362 Sufficient Dietary Support Personnel
- F363 Menus Meet Res Needs/Prep in Advance/Followed
- F364 Nutritive Value/Appear, Palatable/Prefer Temp
- F365 Food in Form to Meet Individual Needs
- F366 Substitutes of Similar Nutritive Value
- F367 Therapeutic Diet Prescribed by Physician
- F368 Frequency of Meals/Snacks at Bedtime
- F369 Assistive Devices – Eating Equipment/Utensils
- F371 Food Procurement, Store/Prepare/Serve – Sanitary
- F372 Dispose Garbage & Refuse Properly
- F373 Feeding Asst – Training/Supervision/Resident

## Physician Services: 483.40

- F385 Residents' Care Supervised By a Physician
- F386 Physician Visits – Review Care/Notes/Orders
- F387 Frequency & Timeliness of Physician Visits
- F388 Personal Visits By Physician, Alternate PA/NP
- F389 Physician for Emergency Care, Available 24 Hours
- F390 Physician Delegation of Tasks in SNFs & NFs

## Specialized Rehab Services: 483.45

- F406 Provide/Obtain Specialized Rehab Services
- F407 Rehab Services – Physician Order/Qualified Person

## Dental: 483.55

- F411 Routine/Emergency Dental Services in SNFs
- F412 Routine/Emergency Dental Services in NFs

## Pharmacy Services: 483.60

- F425 Pharmaceutical Svc – Accurate Procedures, RPH
- F428 Drug Regimen Review, Report Irregular, Act On
- F431 Drug Records, Label/Store Drugs & Biologicals

## Infection Control: 483.65

- F441 Infection Control, Prevent Spread, Linens

## Physical Environment: 483.70

- F455 Emergency Electrical Power System
- F456 Essential Equipment, Safe Operating Condition
- F457 Bedrooms Accommodate No More Than 4 Residents
- F458 Bedrooms Measure at Least 80 Square Ft/Resident
- F459 Bedrooms Have Direct Access to Exit Corridor
- F460 Bedrooms Assure Full Visual Privacy
- F461 Bedrooms – Window/Floor, Bed/Furniture/Closet
- F462 Bedrooms Equipped/Near Lavatory/Toilet
- F463 Resident Call System – Rooms/Toilet/Bath
- F464 Requirements for Dining and Activity Rooms
- F465 Safe/Functional/Sanitary/Comfortable Environment
- F466 Procedures to Ensure Water Availability
- F467 Adequate Outside Ventilation – Window/Mechanic
- F468 Corridors Have Firmly Secured Handrails
- F469 Maintains Effective Pest Control Program

## Administration: 483.75

- F490 Effective Administration/Resident Well-Being
- F491 Facility Licensed Under State and Local Laws
- F492 Comply With Federal/State/Local Laws/Prof Std
- F493 Governing Body – Facility Policies/Appoint Admin
- F494 Nurse Aide Work > 4 Mo – Training/Competency
- F495 Nurse Aide Work < 4 Mo – Training/Competency
- F496 Nurse Aide Registry Verification, Retraining
- F497 Nurse Aide Perform Review – 12 Hr/Year In-service
- F498 Nurse Aide Demonstrate Competency/Care Needs
- F499 Employ Qualified FT/PT/Consult Professionals
- F500 Outside Professional Resources – Arrange/Agreement
- F501 Responsibilities of Medical Director
- F502 Provide/Obtain Lab Services – Quality/Timely
- F503 Lab Services – Fac Provided, Referred, Agreement
- F504 Lab Services Only When Ordered by a Physician
- F505 Promptly Notify Physician of Lab Results
- F506 Assist With Transport Arrangements to Lab Svcs
- F507 Lab Reports in Record – Lab Name/Address
- F508 Provide/Obtain Radiology/Diagnostic Services
- F509 Diagnostic Services – Meet Hospital Requirements
- F510 Radiology/Diagnostic Services Only When Ordered
- F511 Radiology Findings – Promptly Notify Physician
- F512 Assist With Transport Arrangements to Radiology
- F513 X-Ray/Diagnostic Report in Record – Sign/Dated
- F514 Resident Records – Complete/Accurate/Accessible
- F515 Retention of Resident Clinical Records
- F516 Release Resident Info, Safeguard Clinical Records
- F517 Written Plans to Meet Emergencies/Disasters
- F518 Train All Staff-Emergency Procedures/Drills
- F519 Transfer Agreement With Hospital
- F520 QAA Committee - Members/Meet Quarterly/Plans
- F522 Disclosure of Ownership Requirements
- F523 Facility Closure – Administrator
- F524 Facility Closure